

CAP Compliance Committee

4/11/2024

Attendees: Kim W (HASO), Jerry C, Barb N, Emily, Jodee, Kelli

**Agency 1:** Pending DA FWA Audit, records submitted 6 weeks ago with no feedback. Recent uptick in resignations in the several programs. Cost shift in AC to CCBH have resulted in increased expectations is a noted factor in exit interviews.

**Agency 2:** No recent audits for EISC, Beaver SCU had verification from state, no feedback yet. Significant staffing issues/concerns, currently offering PT and a signing bonus to attempt to recruit. Rate study recently completed by the state, in partnership with providers. Working with ACEI to partner in strategies to alleviate stressors due to staffing vacancies.

**Agency 3:** Recent provider qualification for vocational and IDD. Recent citation with one office for on-site doctor time. Attempting to pool resources to address this need. SCA audit in March, results pending. Increasing education on issues identified in audit, including ROIs. Changing records to Credible and obtaining resources. Licensing focus seems to be on ROI. Additional distant county audit in May, requesting information related to fiscal policy and procedure manual and internal reporting procedures. Agency has submitted questions related to this experience to clarify needs of the auditor to best tend to the request. Increasing mock audits at some locations and completing quality record reviews with CCBH in CPHP and annual licensing for IBHS.

**Agency 4:** Recent licensing with ODP for res/life sharing; concentrated on functional assessment matching the ISP. Attempting to wave 50% on-site psychiatric requirement. Follow-up because it was taking an extended period of time to receive a response, with the county requesting a more concrete plan for addressing non-compliance. FWA self-report for CCBH pending, no issues or concerns. Issues with Tx plans expiring, and services still being rendered, utilizing record system resources and consultation to address. Recent CCBH Quality Review with BSC program, POC needed to address deficiencies. Pending BSC audit. Agency looking to implement site/mobile PR program.

**Agency 5:** BSC program completed a quality audit recently, resulting in an improvement plan related to monitoring of allergies, suicide care mgt. plan, and evidence of care coordination with PCP. Annual licensing is pending at the end of the month.

**Agency 6:** Recent licensing and quality visits in PR, IBHS, FC, and PHP programs. Discussed citations related to clearances, cpsl training, and treatment plan signatures.

- Self-Audits: Providers are being asked to complete self-audits following quality monitoring with CCBH.
- Quality Assurance Plans: Discussed more intensive review of quality assurance components of licensing including annual quality review plans, public availability.
- Allegheny County incidents and RCAs: Discussed the elimination of RCAs and pending changes to the AC incident report processes.
- CCBH/Carelon Incidents: Group reminded to review the provider alert from January and Q3 newsletter related to IR with MCOs.

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